



PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10013502-1

APPLICATION NO.

09/896,351

CONFIRMATION NO.

APPLICANT

Joubert Berger et al.

FILING DATE

June 29, 2001

GROUP

N/A

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REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A					
	1B					
	1C					
	1D					
	1E					
	1F					
	1G					
	1H					
	1I					
	1J					
	1K					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1L							
	1M							
	1N							
	1O							
	1P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

ae	1Q	Hewlett-Packard Virtual Vault "Administrator's Guide," HP Part No. B5413-90061, Release A.04.50, E0401, printed 2001, pages ii-xii, and 1-224. Available online at http://docs.hp.com/hpux/pdf/B5413-90061.pdf .
ck	1R	Hewlett-Packard Trusted Solaris Administration Overview, Chapter 3, "Administering Trusted Networking," - December 2000, pages 71-78. Available online at http://docs.sun.com/ab2/coll.175.4/TRSOLADMINOV/@Ab2PageView/4120?Ab2Lang=C&Ab2Enc=820-8859-1 .
OK	1S	Hewlett-Packard Trusted solaris Administration Overview, Chapter 4, "Administering Auditing," - December 2000, pages 87-99. Available online at http://docs.sun.com/ab2/coll.175.4/TRSOLADMINOV/@Ab2PageView/5573?Ab2Lang=C&Ab2Enc=iso-8859-1 .

EXAMINER

Chuck Kenda

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1/12/04



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Sheet 2 of 2

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	2A					
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	2L							
	2M							
	2N							
	2O							
	2P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

de	2Q	HP Praesidium VirtualVault "Concepts Guide," HP Part No. B5413-90051, Release A.04.00, E1199, printed 199, pages ii-viii, and 1-82. Available on-line at http://docs.hp.com/hpux/pdf/B5413-90051.pdf .
CK	2R	Sun Microsystems "Trusted Solaris Audit Administration," Trusted Solaris 8, Sun Microsystems Part No. 805-8121-10, December 2000, pages 1-342. Available on-line at http://docs.sun.com/ab2/coll.175.4/TRSOLAUDADMIN/@Ab2TocView?AAb2Lang=C&Ab2Enc=iso-8859-1 .
CK	2S	Sun Microsystems "Compartmented Mode Workstation Labeling: Encodings Format," Sun Microsystems Part No. 805-8123, December 2000, pages 1-176. Available on-line at http://docs.sun.com/ab2/coll.175.4/TRSOLLBLADMIN/@Ab2TocView?Ab2Lang=C&Ab2Enc=iso-8859-1 .

EXAMINER

Christ Kendon

DATE CONSIDERED

11/2/04



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Sheet 3 of 3

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	3A					
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	3D					
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		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
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	3M							
	3N							
	3O							
	3P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

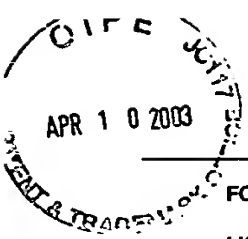
ck	3Q	Sun Microsystems "Trusted Solaris User's Guide," Sun Microsystems Part No. 805-8115-10, December 2000, pages 1-133. Available on-line at http://docs.sun.com/ab2/coll.175.4/TRSSUG@Ab2TocView?Ab2Lang=C&Ab2Enc=iso-8859-1 .
	3R	
	3S	

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Chuck Kendall

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
OK	1A	5,557,742	09/17/1996	Smaha et al.	
OK	1B	6,134,664	10/17/2000	Walker	
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

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		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

OK	1Q	Foreign Search Report dated January 8, 2003.
	1R	
	1S	

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Chuck Keneally

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11/2/04